

The Development of a UK Regulatory Framework for Marine Autonomous Systems [MAS] Drawing on Recent Practical Operational Experience and MAS Stakeholder Community Consensus

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WHERE ARE WE NOW ?

Commercial

Scientific

Defence/Security



The Maritime Autonomous Systems Regulatory Working Group (MASRWG) was formed to:

* identify the issues related to the safe operation of Maritime Autonomous Systems

If formulate a regulatory framework that could be adopted by the UK and other States as well as the international bodies charged with the responsibility to regulate the marine and maritime world.



Origins of the MASRWG



Developing the national collaborative programme solving, technical, legal and social challenges

Steering Group

1st April2014





Alliance

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Maritime Autonomous Systems



Origins of the MASRWG



MASRWG – Governance BIS and DofT [2] MILC **UK MIA** [3] MASRWG [4]



MASRWG – Membership

Marine Industry Offshore Industry Classification Society ***** Operators Legal representatives Nautical Institute * NOC Royal Institute of Navigation Royal Institution of Naval Architects

MASRWG – Membership

✤ IET ✤ IMarEst ✤ Insurance Marine Federation ✤ Academia * MCA MoD and Royal Navy \Rightarrow BIS ✤ KTN



MASRWG – Workstreams

Legal

***** Equivalence

Standards, Training & Accreditation



The **regulatory framework** will cover the following key aspects:



- Environmental compliance
- Compliance with UNCLOS
- Compliance with other key maritime and marine conventions where identified.



Using an 'equivalence' approach the MASRWG are reviewing the following themes:

- The IMO COLREGS
- Issues of ownership, registration and insurance
- Structural integrity with a view to developing a set of classification rules
- Requirements for additional training, accreditation and certification



Scope

The work of the MASRWG will:

Focus on Unmanned Surface Vehicles (USV).

- Will not include
 - Unmanned Underwater Vehicles (UUV)

 Remotely Piloted Aircraft (RPA)/Unmanned Air Vehicles (UAV)

However, the MASRWG will report on the implications of co-ordinated MAS operations including UUV and RPA/UAV on the USV regulatory framework



Aim

Engagement with national bodies [e.g. MCA, SUT, IAIN and IMarEST] and through them to international bodies and organisations as required, in the development of a regulatory framework for USV.

Particular activity will:

Identify the current regulatory landscape at national and international levels to include:

- ***** Customary Practice
- International Conventions
- * National Legislation
- Legal precedence through court cases

exploring where there is commonality and alignment in these sources of legislation that affect delivery of a UK MAS regulatory framework



Aim [Continued]

Identify, evaluate and disseminate a UK MAS regulatory framework based on best practice at national and international levels;

Identify barriers and challenges to suggested improvements and areas of shared concern and formulate collective solutions to tackle these;

Provide a forum to discuss UK regulator involvement;

Examine technical solutions to support the regulatory requirements;



Aim [Continued]

Assess the regulatory landscape and to undertake general horizon scanning to ensure that the planned MAS regulatory delivery is viewed within the wider context;

Recommend a UK approach for future regulation and provide a suitable evidence base to underpin this approach and in doing so create greater clarity around the benefits of better regulation; and

Recommend priority areas impacting on the regulatory environment for problem solving and making proposals for future government funding.



- Primary requirement of any maritime system is to be able to operate safely.
- What does this mean for autonomous maritime capabilities?
- Regulatory void needs to be proportionate/affordable
- Certification and Verification will be key to Acceptance









WHERE ARE WE NOW ?



WHERE ARE WE NOW ?





MASSMO: aims and objectives

- Trial new USVs developed as part of SBRI (co-funded by NERC/NOC and DSTL)
- Share resources and expertise regarding MAS fleet operations in UK waters
- Collect acoustic, metocean and biological data with a range of MAS sensors
- Deploy towed acoustic array on a USV to measure oceanic 'noise' (DSTL co-funded)
 MOST AV 'AutoNaut' GORDON
 ASV 'C-Enduro' THOMAS





P1 - USVs and submarine gliders targeting oceanic fronts off southwest UK



• 5 USVs + 2 submarine gliders, supported by Scilly IFCA RIB / RV Cefas Endeavour

Satellite data from PML, metocean data from UK Met Office and Cefas Smartbuoy

- Vehicles traveled up to 400 km in a 12-day period reaching >150 km from land
- Winds >70 mph and waves >7 m high affected vehicles, oceanography and biology!
- Valuable test of platforms and operations (piloting, C&C, data management etc)





P2 - test of new seabed receiver array and USV-based fish tracking off Plymouth

P2 - 5 Nov 2014

Alliance

Mission MASSMO :: Glider Waimea (sv3026) :: WAVEGLIDERS



The Development of a UK Regulatory Framework for Marine Autonomous Systems GoPro image from AutoNaut *Gordon* showing Northern Gannet and Narcine acoustic array



GoPro image from NOC Liquid Robotics SV3 WAIMEA showing Porpoise



GoPro image from NOC Liquid Robotics SV3 WAIMEA showing rough seas



MOST (AV) Ltd Risk Assessment

AutoNaut

AutoNaut 3.5m: Launching, Towing and Deployment/Retrieval; Operational Trials

Date: October 2014	Assessed by: D Maclean; M Poole	Activity/Location Launching, towing, deploying, retrieving AutoNaut 3.5m at Plymouth.
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Work Activities	Hazards	No. at risk	Controls in place at present	Likelihood	Severity	Risk	Comments
Undertaking trials at sea	Sunburn; hypothermia	All trials personnel & visitors	Use of good quality and appropriate PPE. Warm, layered clothing and hat. Use of sunscreen on exposed skin.	1	2	•	MOST(AV) personnel will use company foul weather clothing and sunscreen. Visitors to provide own clothing.
Preparing launch on slipway	Slips & trips – cuts & fractures	MOST(AV) launch team x 3	Wear non-slip boots/waders and gloves as appropriate.	1	3	M	MOST(AV) team very experienced at launching AutoNaut from slipways.
Slipway environment	Drop-off from end/side of slipway	MOST(AV) launch team x 3	Slipway hazards to be identified and briefed to launch team.	1	1		
Embarking, onboard and disembarking support vessels	Slips & trips; vessel incidents	All trials personnel and visitors	All personnel briefed on hazards on pontoon before/after embarkation; vessel skippers to carry out full safety brief of all passengers before departure.	1	2	1	
Setting the tow alongside	Crushing / trapping of fingers or limbs; MOB.	MOST(AV) launch team	Clear and effective communication when setting up the tow between Launch team and tow vessel. Lifejackets to be worn at all times. Clear understanding by Launch Team and tow vessel regarding towing procedure to be adopted in the expected conditions.	2	2	M	Emergency blanket and one set of dry clothing & towel to be available in case of MOB.

MOST (Autonomous Vessels) Ltd, Unit A5, The Boatyard, Chichester Marina, Chichester PO20 7EJ

info@AutoNautUSV.com

www.AutoNautUSV.com

MASSMO Legal Phase 1 and Phase 2



GoPro image from AutoNaut Gordon showing RFA WAVE KNIGHT





DUCHY of CORNWALL HARBOUR OFFICE ST MARY'S ISLES OF SCILLY TR21 0HU Telephone: (01720) 422768 Email hm@stmarys-harbour.co.uk Harbour Master: Dale Cluk

LNtM No. 32/14

Marine Autonomous Systems in Support of Marine Observations (MASSMO) Experiment

Valid from: Tuesday 30th September

Mariners are advised that from Tuesday 30th Sept for approx. 1 week the National Oceanography Centre (NOC) will undertake an experiment with 7 unmanned vessels 6 of which will be launched from the Isles of Scilly.

It is proposed to tow the unmanned vessels (after launch from Porthloo slip) to an area to the West of the islands using the IFCA rib Matt Lethbridge where they will then be released and continue to a sea area approx. 100' to the West of Scilly.

When towing it is expected that the Matt Lethbridge will be travelling at approx. 2kts – please ensure that you keep well clear and keep your wash to a minimum as you pass.

For further information on the unmanned vessels' characteristics and details of what to do if you encounter/find one then please email <u>NOC_MASSMO@noc.ac.uk</u> or <u>rxr@noc.ac.uk</u> or by phoning UK Mobile: 07525770526

Local Notice to Mariners in force: 17/14, 18/14

Dale Clark Harbour Master St. Mary's Harbour Isles of Scilly

Notice NOC2014-MASSMO - PHASE1



Notice to Mariners

Isle of Scilly to South West Continental Shelf Edge

Marine Autonomous Systems in Support of Marine Observations [MASSMO] – Experiment

For the period:	011014 to 241014
In the sea area:	50N 009W,
	49N 009W,
	49N 011W,
	48N 011W.

Eight [8] unmanned vessels will undertake an experimental programme sponsored by the National Oceanography Centre [Southampton], Cefas and Defra in the sea area detailed above.

Of which five [5] are unmanned surface vessels [see attached images] with the following characteristics:

- Bright Yellow superstructure
- SOA of less than 1.5 to 4 kts
- Fitted with active radar reflectors
- AIS
- Powered by a mixture of solar power, battery, wind turbine, wave power and /or carbon fuel cells
- They are less than 4.5 metres in length
- They are fitted with navigation lights commensurate with their length

Of which three [3] are unmanned underwater vessels [see attached images] with the following characteristics:

1

UK Marine Industries Alliance

Bright yellow bodies

Notice NOC2014-MASSMO - PHASE1

- SOA of less than 0.3 Kts while on the surface
- Less than 2.5 metres in length
- They are only at the surface periodically
- All eight [8] are piloted remotely
- Seven [7] will be launched form the Isle of Scilly
- One [1] an unmanned surface vessel will be launched from St Ives.

PLEASE KEEP SHARP LOOK OUT FOR THESE VESSELS AND KEEP WELL CLEAR.

Further information can be obtained by:

E Mailing either: <u>NOC_MASSMO@noc.ac.uk</u> or <u>rxr@noc.ac.uk</u> or by

2

Phoning UK Mobile: 07525770526

Written enquiries on the content of this NTM can be made to:

Roland J Rogers - Advisor Marine Law and Policy

Room 341

National Marine Facilities Sea Systems

National Oceanography Centre,

European Way

Southampton

Hampshire

SO14 3ZH

United Kingdom

Notice NOC2014-MASSMO - PHASE1

UNMANNED SURFCAE VESSELS





ASV Ltd USV - C-Enduro - Thomas

MOST[AV] Ltd - AutoNaut - Gordon

UK Marine Industries Alliance



Liquid Robotics SV2 x 1 and SV3 x 2

UNMANNED UNDERWATER VESSELS



Teledyne Gliders x 3

203 Marine

Marine Licensing Team, Marine Management Organisation, Lancaster House, Hampshire Court, Newcastle upon Tyne, NE4 7YH Tel: 0300 123 1032 Management Fax: 0191 376 2681 Organisation Email: exemptions@marinemanagement.org.uk

Notification of an exempt activity form

Marine and Coastal Access Act 2009

Marine Licensing (Exempted Activities) Order 2011 Marine Licensing (Exempted Activities) (Amendment) Order 2013

Please complete the form electronically, save it to your computer then email it to exemptions@marinemanagement.org.uk

Name	Roland Rogers
Address (including postcode)	National Oceanography Centre European Way Southampton SO14 3ZH
Telephone	023 80596314
Email address	rxr@noc.ac.uk
Activity details	
MASSMO - MARINE	AUTONOMOUS SYSTEMS IN SUPPORT OF MARINE OBSERVATIONS - PHASE 1
THE AIM OF THE MA UNDERTAKING SUST	SSMO PROJECT IS ASSESS THE USEFULLNESS OF UNMANNED SYSTEMS IN AINED OBSERVATIONS IN SUPPORT OF THE UK'S DELIVERY AGAINST THE EU MSFD.
EIGHT [8] UNMANNE NATIONAL OCEANO	D VESSELS WILL UNDERTAKE AN EXPERIMENTAL PROGRAMME SPONSORED BY THE GRAPHY CENTRE SOUTHAMPTON UK AND SUPPORTED BY DEFRA AND CEFAS.
FIVE [5] ARE UNMAN METRES IN LENGTH WITH THEIR LENGTH ABOVE OR BELOW D	NED SURFACE VESSELS WITH CHARACTERISTICS: BRIGHT YELLOW, LESS THAN 4.5 AND FITTED WITH ACTIVE RADAR REFLECTORS, NAVIGATION LIGHTS COMMENSURATE AND AIS. THESE VESSELS EITHER HAVE SOLAR POWERED PROPULSION MOUNTED IECK WITH SPEED APPROX 1.5-4.0KTS.

THREE [3] ARE UNMANNED UNDERWATER VESSELS WITH CHARACTERISTICS: BRIGHT YELLOW, LESS THAN 2.5 METRES IN LENGTH. ONLY AT SURFACE PERIODICALLY FOR DATA EXCHANGE.

THEY ARE PILOTED REMOTELY.

THE MCA HAVE BEEN INFORMED.

Location (include co-ordinates in WGS84 format)

IN THE SEA AREA 50N 009W, 49N 009W, 49N 011W, 48N 011W. THIS ACTIVITY WILL REMAIN INSIDE UK WATERS

Date and duration of the activity 1st October to 24th October

Exempted activity (please select) 17 Scientific instruments etc

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UK Marine

Industries Alliance

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Oil and Gas	Oil and Gas	Oil and Gas
Area 4		23 October 2014 Issue 22
For 'live' Kingfisher updates of off	ishore activities, visit www.fishsafe.eu and fo	llow <u>@KingfisherInfo</u> onTwitter
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Deployment Date: 13 th October 2014 – Ongo For further information: Dr Stephen Cotterell, http://www.mba.ac.uk/simslab/research/ Seabed Activity Plymouth – Deployment Op Passive Acoustic Fish Tracking by unmar 50°21.680'N 0 50°05.000'N 0 50°05.000'N 0 50°13.320'N 0 Deployment Date: 20 th October 2014 to 14 th	50°14.20'N 003°55.8320'W 50°14.820'N 003°55.800'W 50°11.160'N 003°57.660'W 50°11.160'N 003°57.660'W MBA UK, Tel: +44(0)1752 633207 email: <u>stette@MBA.ac.u</u> First Published: 23 October 2014 perations Ind Surface Vessels 104°20.000'W (near The Brawn, West of Portwrinkle) 104°20.000'W (near The Brawn, West of Portwrinkle) 104°20.000'W (at sea) 103°50.000'W (near Soar Mill Cove (beach)). November	k Latest Update: 23 October 2014





Plymouth Local Notices to Mariners (PLNTM)

Plymouth Local Notice to Mariners are issued by the Queen's Harbour Master Plymouth pursuant to the Dockyard Port of Plymouth Order 1999, Schedule 1 Regulation 1, Regulation 11 and Regulation 29. These Notices are numbered consecutively starting at the beginning of each year. They contain information fundamental to the safety of mariners.

QUEEN'S HARBOUR MASTER PLYMOUTH

LOCAL NOTICE TO MARINERS

No 40/14

PLYMOUTH SOUND - UNMANNED SURFACE RESEARCH VEHICLES

- This notice is issued for information by the Queen's Harbour Master Plymouth pursuant to the Dockyard Port of Plymouth Order 1999.
- Three unmanned surface vehicles up to 4m in length will be launched from Queen Anne Battery and will transit to outside the port limits to commence trials between 3 Nov and 14 Nov. Each vessel is fitted with AIS and navigation lights.
- Whilst in port limits the unmanned surface vehicles will be towed and/or escorted by a controlling vessel until the craft are 1nm clear of the port limits.
- 4. Once clear of the port limits the vessels will conduct scientific data gathering. During this phase the vehicles will be autonomous, unmanned and remote operated within an area to the south of the port, approximately bounded by:

50 21.5 N 4 20.00 W

50 05.00 N 4 20.00 W

MASSMO Legal Phase 2

50 05.00 N 3 50.00 W

50 13.5 N 3 50.00 W

During the trials the vessels will be monitored by operators based ashore.

 Mariners are advised to remain a safe distance from the controlling vessel and unmanned vessels.

UK Marine

Industries Alliance

6. Cancel this PLNTM 14 Nov 14.

C Necker

Commander Royal Navy

Queen's Harbour Master

Mon 3rd Nov 2014

Longroom House

RM Stonehouse

Plymouth.



<u>NON MAS Specific Legal</u> <u>Aspects</u>

Fishing Licence

Permit to Tag Fish

Hydrographic Note for Sea Bed Acoustic Array





2 October 2014 Last updated at 18:01

Big robot fleet takes to UK waters



By David Shukman Science editor, BBC News



The BBC's David Shukman: "We are now entering a new era of almost constant observation of the oceans"

A fleet of marine robots is being launched in the largest deployment of its kind in British waters.

Unmanned boats and submarines will travel 500km (300 miles) across an area off the southwestern tip of the UK.

The aim is to test new technologies and to map marine life in a key fishing ground.

In total, seven autonomous machines are being released in a trial heralded as a new era of robotic research at sea.

Two of the craft are innovative British devices that are designed to operate for months using renewable sources of power including wind and wave energy.

The project, led by the National Oceanography Centre, involves more than a dozen research centres and specialist companies.

Chief scientist Dr Russell Wynn told BBC News: "This is the first time we've deployed this range of vehicles carrying all these instruments.

Drones of the de

Marine robots come in and sizes, and no few **Related Stories**

'Implodes' 10km-down Electric fish inspire

UK sub surveys deep ocean floor

Deep-sea sub

agile robots



UK */ World */ Business */ People / Science / Environment */ Media */ Technology / Education */ Images /

AppealScience

New underwater robots set to revolutionise marine science



National Oceanography Centre launches ambitious new project

CHRIS GREEN I Tuesday 07 October 2014

IN SHARE TWEET O+ SHARE CO REDDIT

A fleet of seven aquatic robots has been launched into the ocean off the south west of England, ushering in a new era of marine research carried out by unmanned vehicles.

Ads by Google

MASSMO media coverage

ional Oceanography Centre Southampton, is the most of its kind in Europe. The track, report, 250 000+ selection of crafts will travel 300 miles

The project, led by marine researchers

Shares: 80 RINT AAA

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Industries

Alliance

MASSMO: summary

- Successful sharing of resources and expertise for UK MAS deployments
- **Proof-of-concept demonstrated for new USVs and submarine gliders**
- Acoustic array towed for 400 km from USV; GoPro camera images obtained
- Piloting of USVs dependent mone weather conditions (platform specific)
- Further joint MAS trials planned for 2015/16 in UK waters
- Appear to be no legal impediment to operating in UK waters





Next Steps

Codes of practice – ongoing development by operators and manufacturers

 Cover aspects such as product safety (design & build), HS&E compliance, operational use, training and education

Engagement with Regulatory Authorities

- IMO Information paper to MSC95
- MCA representation within the RWG

Continuing engagement with other maritime users

